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Lindsay G. McGuinness
Senior Counsel

February 14, 2002

Assistant Commissioner
for Patents
Washington, D.C. 20231-9999

Re: U. S. Utility Patent Application Serial No. 09/930,375
Docket No. 14986BAUS01U
Entitled: SYSTEM, DEVICE, AND METHOD FOR MANAGING SERVICE
LEVEL AGREEMENTS IN AN OPTICAL COMMUNICATION SYSTEM
Applicant: Indermohan S. Monga et al.

Dear Sir:

Enclosed for submission is an Information Disclosure Statement and Form PTO-1449, including three (3) references, pursuant to 37 C.F.R. § 1.56.

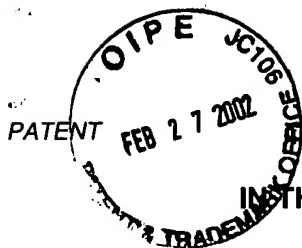
Kindly acknowledge receipt of the foregoing by returning the enclosed self-addressed postcard.

Sincerely,

Lindsay G. McGuinness
Reg. No. 38,549

LGM:cmm

- Enclosures:
- Information Disclosure Statement
 - PTO-1449
 - Three (3) References
 - Postcard



ATTORNEY DOCKET NO. 14986BAUS01U

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MAR 04 2002
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IN THE UNITED STATE PATENT AND TRADEMARK OFFICE

In re: Indermohan S. Monga et al.

Application No.: 09/930,375

Filed: August 15, 2001

For: SYSTEM, DEVICE, AND METHOD FOR MANAGING SERVICE LEVEL AGREEMENTS
IN AN OPTICAL COMMUNICATION SYSTEM

Group Art Unit: 2633

Examiner: Unassigned

Assistant Commissioner for Patents
Washington, D.C. 20231

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))**

In compliance with the duty of disclosure under 37 C.F.R. §1.56, and in accordance with the practice under 37 C.F.R. §1.97 and §1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed information are also enclosed.

The documents listed in this Information Disclosure Statement were first cited in a PCT International Search Report in a counterpart application and this Information Disclosure Statement is being filed within three months of the mailing date of that Report.

As this Information Disclosure Statement is being submitted prior to a First Office Action, there is no fee due. However, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 50-1940.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Respectfully submitted,

Lindsay G. McGuinness
Registration No. 38,549
Attorney for Applicants

Customer No. 28901

Telephone: 978/288-6304

Facsimile: 978/288-6543

CERTIFICATE OF MAILING

Dated: 2/14/2002

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Name: Christine Morrisette

Date of Signature: 2/14/2002

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/930,375
Filing Date	August 15, 2001
First Named Inventor	MONGA, Indermohan S.
Art Unit	2633
Examiner Name	Unassigned
Attorney Docket Number	14986BAUS01U

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.